# Reevaluating bipedalism in Danuvius 

https://doi.org/10.1038/s41586-020-2736-4
Received: 18 December 2019
Accepted: 28 July 2020
Published online: 30 September 2020

## Check for updates

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ARISING FROM M. Böhme et al. Nature https://doi.org/10.1038/s41586-019-1731-O (2019)

Danuvius guggenmosi is a species of Miocene hominoid from the 11.62-million-year-old site of Hammerschmiede. On the basis of interpretations of its vertebrae and limbs, Böhme and colleagues ${ }^{1}$ infer that Danuvius exhibited 'joint positions and loading patterns of both hominin bipedalism that emphasize hindlimb extension and spinal curvatures, and extant great ape suspension'. Although we agree that Danuvius was suspensory, we find the functional interpretation of bipedalism to be unfounded on morphological grounds. We therefore call into question the evolutionary scenario for the origin of hominin bipedalism proposed by Böhme and colleagues.

On the basis of differences in the orientation of the spinous process ( $41^{\circ}$ ) between purported 'first' and 'lower' thoracic vertebrae, Böhme and colleagues infer biped-like cervical lordosis and thoracic kyphosis for the upper spine of Danuvius. However, their comparative data (drawn from ref. ${ }^{2}$ ) are misleading, because they represent a substantially higher thoracic level (T7 in humans and T8 in chimpanzees) than is represented by the Danuvius specimen GPIT/MA/10000-16 (described as the 'penultimate or ante-penultimate [thoracic] position', in the supplementary information of Böhme et al. ${ }^{1}$ ). When the relevant comparative data ${ }^{2}$ are used, only minor differences in the inclination of the spinous process are found (T1:ante-penultimate thoracic, $8.4^{\circ}$ in humans and $-0.1^{\circ}$ in chimpanzees; $\mathrm{T1}$ :penultimate thoracic, $4.2^{\circ}$ in humans and $3.2^{\circ}$ in chimpanzees). Danuvius does not resemble humans or chimpanzees in this metric, although it does overlap with some gorillas and orangutans (Fig.1). Moreover, in the absence of mid-thoracic or lumbar vertebrae, claims regarding the spinal curvature and lumbar lordosis ${ }^{1}$ of Danuvius are unsubstantiated.

The interpretation of a long lower back in Danuvius ${ }^{1}$ is based not on a series of vertebrae, but rather on a single lower thoracic vertebra. On the basis of a well-developed costotransverse facet, Böhme and colleagues ${ }^{1}$ argue for 'a non-ultimate thoracic position for the diaphragmatic vertebra and therefore a functionally longer lower back, as in early hominins, stem-hominoids and cercopithecids.' Although the last thoracic vertebra in humans lacks costotransverse facets, they are in fact commonly present in great apes (in 30 out of 42 specimens examined; personal observations ofS.A.W.) and-in some cases-are large and cranioventrally directed (contra ref. ${ }^{1}$ ) (Fig.1). Therefore, the presence of a costotransverse facet on a vertebra does not preclude its identification as an ultimate thoracic vertebra (Fig.1). Moreover, the position of the diaphragmatic vertebra does not directly relate to the length of the lumbar column or to lumbar curvature. All extant hominoid species demonstrate some frequency of cranial displacement of the diaphragmatic vertebra relative to the last thoracic vertebra-approximately $33 \%$ of many hominid species, and up to $55 \%$ in hylobatids-yet do not have long lumbar columns ${ }^{3}$. Similarly, atelines, which converge with hominoids on lower back morphology related to suspensory behaviour ${ }^{4}$, exhibit similar frequencies of cranial displacement and possess
short lumbar columns (Fig. 2). Stem hominoids possessed six lumbar vertebrae and cranial displacement by one to two elements and are therefore considered long-backed ${ }^{5}$, whereas Oreopithecus bambolii possessed five lumbar vertebrae and demonstrates cranial displacement by at least one element ${ }^{6}$ (Fig. 2). As with Oreopithecus ${ }^{6}$,Danuvius may have had an 'intermediate' lower back similar to that of hylobatids rather than a long, monkey-like lower back or a short lower back that recalls those of the extant great apes (Fig. 2). Regardless, neither the morphology of GPIT/MA/10000-16 nor its potential position in the vertebral column indicate the length of the lumbar column or suggest adaptation to bipedal posture or locomotion.

Böhme et al. ${ }^{1}$ argue that Danuvius had a valgus knee and hominin-like hip abductor mechanics that were associated with extended-limb arboreal bipedalism. They suggested that 'the more medial position of the lesser trochanter may result in a more exclusively extension function of iliopsoas, particularly if the ilium were rotated laterally on the hip joint' (supplementary information of Böhme et al. ${ }^{1}$ ). Yet, given its anatomical position, the iliopsoas functions exclusively as a hip flexor and lateral rotator of the thigh, with no contribution to hip extension ${ }^{7}$. Instead, a more medially positioned lesser trochanter may further diminish the ability of the iliopsoas to contribute to lateral rotation of the thigh, which has no clear connection to bipedalism. Additionally, Böhme et al. ${ }^{1}$ infer that the ilium was more 'inferolaterally' oriented inDanuvius on the basis of a high femoral neck-shaft angle and a posterosuperior expansion of the articular surface of the femoral head, implying an increased hip abductor function of the lesser gluteal muscles. However, as shown by Böhme et al. ${ }^{1}$, neither of these traits is unique to bipeds. Notably, the Danuvius femur appears to lack the elongated femoral neck (figure 1 in ref. ${ }^{1}$ ) that is characteristic of bipeds, and which increases the internal moment arm of the lesser gluteal muscles to counteract external moments at the hip during the single support phase of the gait cycle ${ }^{8}$.

A tibia with a damaged diaphysis (GPIT/MA/10000-15) is central to arguments for an extended lower limb and bipedalism in Danuiuius, as it purportedly displays a hominin-like, relatively large and anteroposteriorly flattened lateral condyle with a 'buttressing of the tibial metaphysis ${ }^{1}$, combined with a talocrural joint oriented orthogonally to the diaphyseal long axis. However, the analysis of the tibial condyle shape performed by Böhme et al. ${ }^{1}$ is preliminary and includes only eight individuals and seven species, which precludes statistical tests of taxon or locomotor group differences. We agree that the morphology of the proximal tibial metaphysis could reflect knee-joint loading regimes associated with various locomotor and postural modes, but Böhme and colleagues ${ }^{1}$ do not provide comparative data to support their claim that the tibial metaphysis of Danuvius is expanded relative to those of apes. Moreover, the analysis of the surface area of the tibial plateau relative to tibial length shows Danuvius to be most similar to Pan and

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Fig. 1 | Lower thoracic vertebra of Danuvius (GPIT/MA/10000-16) in comparative context. a, The cranially oriented costal facets and 'rod-like' laminapophysis of GPIT/MA/10000-16 (colour) (from ref. ${ }^{1}$ ) can be found on the last thoracic vertebrae of great-ape specimens (for example, on a gorilla (grey)) (not to scale). Therefore, the contention that GPIT/MA/10000-16 cannot be the ultimate or penultimate thoracic vertebra on the basis of the presence of these

morphologies is incorrect.b, Angles of the spinous process of upper and lower (ultimate, penultimate and ante-penultimate) thoracic vertebrae of a range of hominoids: Pongo ( $n=7$ ), Gorilla $(n=11)$, Pan $(n=37)$ and modern humans ( $n=30$ ). Danuvius falls near some of the specimens of Gorilla and Pongo, which indicates that it is neither unique nor humanlike.
thicker patellae of cercopithecoids are associated with the generation of higher-magnitude knee extension moments from more-habitually flexed positions as pronograde quadrupeds ${ }^{9}$. However, patellar thickness does not distinguish among living great apes ${ }^{9}$. Although we agree that the Danuvius patella is anteroposteriorly thin and great-ape-like, its morphology cannot therefore support the conclusion thatDanuvius used 'slow and deliberate movements, most similar to Pongo' (supplementary information of Böhme et al. ${ }^{1}$ ). In addition, the great-ape-like patella of Danuvius reduces the moment arm of the quadriceps at

Pongo (extended data figure 3 in ref. ${ }^{1}$. The inference that Danuvius habitually loaded its proximal tibia in extended-knee bipedalism on the basis of comparisons of proximal tibia morphology is therefore currently unsubstantiated.

As noted by Böhme and colleagues ${ }^{1}$, the anteroposteriorly thin patella of Danuvius resembles those of extant great apes and Miocene hominoids such as Pierolapithecus. The relatively thin patellae of great apes reflect the use of varied knee positions during orthograde climbing and suspension, including extended positions. By contrast, the


Fig. 2 |Evolution of vertebral formulae in anthropoids. a, Regional numbers of thoracic (blue or purple squares, starting at T9 (vertebra16)) and lumbar (red squares) vertebrae are shown, along with the modal diaphragmatic vertebra (purple squares, with the frequencies listed). Hypothesized ancestral patterns of lower back (lumbar column) length are indicated. LCA, last common ancestor. b, In the IGF11778 Oreopithecus skeleton, two additional lumbar
vertebrae (L4 and L5) are entrapped between the iliac blades of the pelvis ${ }^{6}$. Additionally, the last thoracic vertebra is post-diaphragmatic, as evidenced by a cup-shaped, sagittally oriented superior articular facet with a mammillary process lateral to it; this indicates that the specimen is characterized by cranial displacement.
the knee ${ }^{10}$, which diminishes the ability of the quadriceps to counteract sagittal-plane moments that flex the knee in the early part of the stance phase of the bipedal gait cycle ${ }^{11}$. Finally, the damaged tibial diaphysis and distal metaphysis preclude accurate measurement of the frontal-plane angle of the talocrural joint. The intact sections of the tibial diaphysis clearly indicate frontal-plane curvature, particularly along the lateral border of the midshaft and below-potentially resulting in a more obliquely oriented talocrural joint, which is characteristic of African apes ${ }^{12}$. The lower limb of Danuvius shares morphometric affinities with great apes that are consistent with a positional repertoire that included orthogrady and suspension, but the evidence for bipedalism is equivocal.

In summary, Danuvius lacks features associated with bipedal posture and locomotion. Its preserved morphology appears to reflect the increased limb mobility and powerful hallucal grasping that are expected to characterize a relatively large-bodied, tailless arboreal ape ${ }^{13}$. The discovery of Danuvius substantially contributes to our understanding of hominoid evolution, but relevant comparative data do not support the hypothesis of Böhme et al. ${ }^{1}$ that the last common ancestor of humans and chimpanzees was a long-backed, lordotic and arboreal biped ${ }^{3,14,15}$.

## Reporting summary

Further information on research design is available in the Nature Research Reporting Summary linked to this paper.

## Data availability

The datasets generated and/or analysed in this article are available from the corresponding author upon reasonable request.

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Author contributions All authors performed and contributed to interpretation of analyses and wrote the manuscript.

Competing interests The authors declare no competing interests.

Additional information
Supplementary information is available for this paper at https://doi.org/10.1038/s41586-020-2736-4.
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## Data

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# Replyto:Reevaluatingbipedalismin Danuvius 

https://doi.org/10.1038/s41586-020-2737-3
Published online: 30 September 2020

Madelaine Böhme ${ }^{1,2 \varpi}$, Nikolai Spassov ${ }^{3}$, Jeremy M. DeSilva ${ }^{4}$ \& David R. Begun ${ }^{5}$
replying to S. A. Williams et al. https://doi.org/10.1038/s41586-020-2736-4 (2020)

In the accompanying Comment ${ }^{1}$, Williams and colleagues question our interpretation of the evolutionary importance of extended limb clambering for the emergence of great ape suspension and hominin bipedalism ${ }^{2}$ by casting doubt on the morphological evidence for bipedalism in Danuvius. Specifically, they question the hip mechanics, the reported orthogonal set to the distal tibia and the evidence for a functionally elongated lumbar spine by re-interpreting the position of the diaphragmatic vertebra.

Williams et al. ${ }^{1}$ discovered a typographical error regarding hip mechanics in the supplementary information of our original paper ${ }^{2}$ : iliopsoas is obviously a hip flexor, as Williams et al. state (as well as being an external rotator). However, our inference of the probable orientation of the ilium in Danuvius is based on the morphology of the proximal femur, which is consistent with habitual extension and enhanced gluteal abduction at the hip joint.

The main focus of the Comment by Williams et al. ${ }^{1}$ is our interpretation of the vertebrae. The GPIT/MA 10000-16 specimen is a transitional (diaphragmatic) vertebra with large, round, anterosuperiorly oriented costotransverse facets and flat articular surfaces (Fig. 1a, b). This indicates articulation with a well-developed, flat and inferiorly oriented coastal rib tubercle, which means that this specimen could not be a last or penultimate thoracic vertebra.

The anatomy of costotransverse joints changes in humans ${ }^{3}$ (Fig.1c) and orangutans according thoracic level. Costotransverse facets of the upper thoracic vertebrae (T1-T7) are anterolaterally oriented, with oval and convex hollows that articulate with the concave tubercle of the sternal ribs and allow rotation and torsional movement of ribs in the pulmonary thorax. In mid-thoracic vertebrae ( $\mathrm{T} 8, \mathrm{~T} 9$ or T 10 ), both the orientation and shape of the costotransverse facets change (Fig. 1c). The facets are round, flat and oriented anterosuperiorly. They articulate with the posteroinferior rib tubercle (see figure 5 in ref. ${ }^{4}$ ), which allows the costals of the diaphragmatic thorax a planar gliding movement ${ }^{5}$. The lower thoracic (T11 and T12) vertebrae of humans and orangutans lack costotransverse joints, because the floating ribs have only one articular facet.

The costotransverse facets of the thoracic vertebrae of African apes show less variability. These facets remain anterolaterally oriented at mid-thoracic positions, in which the rib tubercle is convex and posterosuperiorly oriented (figure 5 in ref. ${ }^{4}$ ). Some gorillas have a caudally shifted transitional vertebra (L1). Here, the last thoracic rib retains a tubercle and the last thoracic (pre-transitional) vertebra (T13) bears a costotransverse facet. This facet resembles the upper-and mid-thoracic costotransverse facets in shape and orientation-for example, in being convex and anterolaterally oriented-and is different from those of Danuvius (as visible in figure 1a of Williams et al. ${ }^{1}$ ). In Pan, the lower (transitional) thoracic vertebra always lack costotransverse facets.

In nearly all extant hominoids, the transitional vertebra occurs at the last thoracic level (or first lumbar level in some individuals of Gorilla) and lacks costotransverse facets. On the basis of the shape
and orientation of the costotransverse facet, we conclude that GPIT/ MA 10000-16 represents a mid-thoracic vertebra (a T8, T9 or T10) and would have articulated with the costal ribs. Depending on the number of thoracic vertebrae in Danuvius, we expect at least two or three post-transitional thoracic vertebrae, similar to the condition in Nacholapithecus, Ekembo and cercopithecids ${ }^{6,7}$. Although the number of lumbar vertebrae in Danuvius is unknown, the cranial shift of the transitional vertebra is indicative of a functionally elongated lumbar spine, as has recently been reported for Rudapithecus ${ }^{8}$. Williams et al. ${ }^{1}$ concede that '[a]s with Oreopithecus, Danuvius may have had an 'intermediate' lower back similar to that of hylobatids ...': recent work on the lower torso of Oreopithecus has concluded that it was 'certainly more capable of bipedal positional behaviors than extant great apes ${ }^{\prime 9}$.Danuvius and Oreopithecus differ in femoral, ulnar and hallucal morphology as well as dentition, but the point remains that an intermediate lower backwhich Danuvius minimally possessed-predisposed Miocene apes such as Oreopithecus, Rudapithecus and Danuvius to upright postures. As it probably possessed more than five functionally lumbar vertebrae (two or three post-transitional vertebrae, and an unknown number of lumbar vertebrae), lordosis was possible for Danuvius. Consistent with our interpretation of GPIT/MA 10000-16 as a mid-thoracic vertebra (a T8, T 9 or T10), the data from ref. ${ }^{10}$ are appropriate for comparing to the inclination of the spinous process in the thorax of Danuvius.

We agree with Williams et al. ${ }^{1}$ that Danuvius possessed a highly mobile hip joint that is characteristic of arboreal hominoids, and that its femur does not have some of the apomorphies that are typical of the hominin bipeds. However, it is unclear why we might expect Danuvius to have possessed the full suite of features of a terrestrial biped (an anteroposteriorly thick patella, elongated femoral neck and so on). Bipedal adaptations evolved piecemeal, as the early hominin fossil record indicates-and as has recently been reinforced by findings that the valgus knee and human-like pelvic drop did not evolve in concert ${ }^{11}$. We emphasize the arboreal adaptations of Danuvius and define extended limb clambering as a pattern of arboreal behaviour. At the same time, we draw attention to bipedal attributes in Danuvius. The posterosuperior expansion of the articular surface of the femoral head, which is found in many mammals, is nevertheless consistent with enhanced hip extension in Danuvius.

Compared with the fossils of other European Miocene apes, the preserved skeletal elements of Danuvius are notably complete: the tibia and the ulna are the only complete specimens known from the Neogene hominid record. Even though the distal tibia is detached at the metaphysis, the orthogonal set of the ankle joint relative to the tibial shaft-which is found only in bipeds ${ }^{12,13}$-can be measured with confidence ( $91.5 \pm 5^{\circ}$ ) and falls clearly outside the ranges of the great apes $\left(100-108^{\circ}\right)$. The orthogonal tibial angle can be inferred from the epiphysis alone. We measured the set of the ankle joint at its midpoint relative to the medial border of the medial malleolus and found a value

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Fig. 1 Transitional vertebra of Danuviusguggenmosi. a, b,Specimen GPIT/ MA 10000-16 in superior (a) (mirror-imaged) and left lateral view (b). Scale bar, 10 mm . c, Human thoracic spine (from ref. ${ }^{3}$ ), showing the shape and orientation of costotransverse facets (indicated by arrows). d, Artist's reconstruction (by


V. Simeonovski, according to scientific instructions of the authors) of the foot and knee postures of D.guggenmosi during deliberate bipedal walks on horizontal arboreal branches.
of $95.5^{\circ}$ for Danuvius, which is well outside the range of the tibiae from other Miocene apes (Hispanopithecus, Sivapithecus and Ekembo, 104.0$107.3^{\circ}$ ) and Gorilla ( $n=29,104.0 \pm 2.6^{\circ}$ ). The set of the ankle joint from the epiphysis alone in Danuvius falls between the range of $\operatorname{Pan}(n=32$, $100.6 \pm 4.6^{\circ}$ ) and Homo sapiens ( $n=29,91.8 \pm 2.3^{\circ}$ ) and is closest in value to that of Australopithecus ( $n=9,93.4 \pm 2.7^{\circ}$ ).

Extended limb clambering should not be confused with striding terrestrial bipedalism, which represents another form of positional behaviour.Just as knuckle-walkers are also suspensory, extended limb clamberers incorporate bipedalism into their positional repertoire. This does not make them human bipeds: Danuvius has attributes that we interpret as functionally enabling arboreal bipedalism, but not striding terrestrial bipedalism. Very few of the morphologies we describe and quantify are identical to the corresponding hominin features related to terrestrial striding bipedalism. One of these differences is indeed in the patella, as Williams et al. ${ }^{1}$ note. However, the flat patellar surface in Danuvius is suggestive of slow, deliberate orangutan-like movements ${ }^{14,15}$.

The ability of Danuvius to walk bipedally on branches is an apomorphic behaviour that was enabled by the suite of unique morphological adaptations that characterize extended limb clambering. Besides the spinal and tibial characters, the strongly developed cruciate ligaments and the hinge-like morphology of the talocrural joint are consistent with extended limb clambering in Danuvius. Together with a laterally torqued and robust opposable hallux, these features-which are not present in this combination in striding terrestrial bipeds or any extant apes-contributed to increased foot and knee stability during slow and careful bipedal walks on narrow arboreal supports (Fig.1d).

## Reporting summary

Further information on experimental design is available in the Nature Research Reporting Summary linked to this paper.

## Data availability

The datasets generated during and/or analysed in this article are available from the corresponding author on reasonable request.

1. Williams, S. A., Prang, T. C., Meyer, M. R., Russo, G. A. \& Shapiro, L. J. Reevaluting bipedalism in Danuvius. Nature https://doi.org/10.1038/s41586-020-2736-4 (2020).
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Acknowledgements We are indebted to V. Simeonovski for artistic reconstruction of the gait of Danuvius. We thank Williams et al. for their Comment on our original Article, and invite them to study the original Danuvius sample at any time.

Author contributions M.B., N.S., J.M.D. and D.R.B. performed and contributed to interpretation of analyses, and wrote the manuscript. The present author list includes only those authors of the original paper who have contributed substantially to the writing of this Reply, along with J.M.D. who joined as author after studying the original materials.

Competing interests The authors declare no competing interests.

## Additional information

Supplementary information is available for this paper at https://doi.org/10.1038/s41586-020-2737-3.
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## Software and code

Policy information about availability of computer code
Data collection $n / a$
Data analysis $n / a$

For manuscripts utilizing custom algorithms or software that are central to the research but not yet described in published literature, software must be made available to editors and reviewers. We strongly encourage code deposition in a community repository (e.g. GitHub). See the Nature Research guidelines for submitting code \& software for further information.

## Data

Policy information about availability of data
All manuscripts must include a data availability statement. This statement should provide the following information, where applicable:

- Accession codes, unique identifiers, or web links for publicly available datasets
- A list of figures that have associated raw data
- A description of any restrictions on data availability

All data generated or analysed during this study are included in the published article. The material analysed during the current study are available from the corresponding author on reasonable request.

## Ecological, evolutionary \& environmental sciences study design



## Reporting for specific materials, systems and methods

We require information from authors about some types of materials, experimental systems and methods used in many studies. Here, indicate whether each material, system or method listed is relevant to your study. If you are not sure if a list item applies to your research, read the appropriate section before selecting a response.

| Materials \& experimental systems |  |  | Methods |  |
| :---: | :---: | :---: | :---: | :---: |
| n/a |  | olved in the study | n/a | Involved in the study |
| $x$ |  | Antibodies | $x$ | $\square$ ChIP-seq |
| $x$ |  | Eukaryotic cell lines | $x$ | Flow cytometry |
|  | x | Palaeontology and archaeology | $x$ | $\square$ MRI-based neuroimaging |
| $x$ |  | Animals and other organisms |  |  |
| $\boldsymbol{x}$ |  | Human research participants |  |  |
| $\boldsymbol{x}$ |  | Clinical data |  |  |
| $\boldsymbol{x}$ |  | Dual use research of concern |  |  |

Palaeontology and Archaeology

| Specimen provenance | According to German (Bavarian) law no permissions needed for palaeontological excavations. Non-formal permission <br> from the land owner have been obtained. |
| :--- | :--- |
| Specimen deposition | All Hammerschmiede fossils are stored in the paleontological collection of the University of Tübingen (acronym GPIT), a research <br> infrastructure of the Senckenberg Institute for Human Evolution and Palaeoenvironment (SHEP) Tübingen. |
| Dating methods | No new dates are provided. |
| $\boldsymbol{x}$ Tick this box to confirm that the raw and calibrated dates are available in the paper or in Supplementary Information. |  |
| Ethics oversight | No ethic approval is required with paleontologic data. |

[^4]
[^0]:    ${ }^{1}$ Center for the Study of Human Origins, Department of Anthropology, New York University, New York, NY, USA. ${ }^{2}$ Department of Anthropology, Texas A\&M University, College Station, TX, USA. ${ }^{3}$ Department of Anthropology, Chaffey College, Rancho Cucamonga, CA, USA. ${ }^{4}$ Department of Anthropology, Stony Brook University, Stony Brook, NY, USA. ${ }^{5}$ Department of Anthropology, The University of Texas at Austin, Austin, TX, USA. ${ }^{\boxtimes}$ e-mail: sawilliams@nyu.edu

[^1]:    Policy information about availability of data
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    - Accession codes, unique identifiers, or web links for publicly available datasets
    - A list of figures that have associated raw data
    - A description of any restrictions on data availability

[^2]:    For a reference copy of the document with all sections, see nature.com/documents/nr-reporting-summary-flat.pdf

[^3]:    ${ }^{1}$ Department of Geosciences, Eberhard-Karls-Universität Tübingen, Tübingen, Germany. ${ }^{2}$ Senckenberg Centre for Human Evolution and Palaeoenvironment, Tübingen, Germany. ${ }^{3}$ National Museum of Natural History, Bulgarian Academy of Sciences, Sofia, Bulgaria. ${ }^{4}$ Department of Anthropology, Dartmouth College, Hanover, NH, USA. ${ }^{5}$ Department of Anthropology, University of Toronto, Toronto, Ontario, Canada. ${ }^{\boxtimes}$ e-mail: m.boehme@ifg.uni-tuebingen.de

[^4]:    Note that full information on the approval of the study protocol must also be provided in the manuscript.

